

**INTERNATIONAL
CATALOGUING STANDARDS
and
INTERNATIONAL STATISTICS
2021**

**Maintained by
The International Grading and
Race Planning Advisory Committee (IRPAC)**

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**The standards established by IRPAC have been approved by the
Society of International Thoroughbred Auctioneers**

www.ifhaonline.org/standardsBook.asp

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NOTES

INTRODUCTORY NOTES

The 2021 edition of *International Cataloguing Standards* contains information important to Thoroughbred breeders, sellers and buyers, including a full listing of stakes races of 2021 which will be accorded Group/Graded or Listed status in sales catalogues published by the world's major international auction houses under the auspices of SITA. Not listed herein are U. S. and Canadian stakes races of 2021 which will receive "black type" in such catalogues but are considered to be below Graded or Listed standards. An explanation of these races appears hereinafter under "Part I".

International Cataloguing Standards is organized in five parts, beginning with the introductory material. Users of this publication should bear in mind:

1. **RACES INCLUDED IN THIS EDITION AND THEIR RATINGS ARE FOR 2021 ONLY.** Races previously rated which are no longer rated or will not be renewed in 2021 do not appear. A race run under a new name will be followed by the old race name in parenthesis and italics, if the country provided the information. Previously rated races which have been upgraded or downgraded appear with their 2021 rating only.
2. **TO DETERMINE WHETHER A PARTICULAR HORSE IS A GROUP/GRADED OR LISTED WINNER YOU MUST REFER TO THE EDITION OF *International Cataloguing Standards* FOR THE YEAR IN WHICH THE HORSE WON THE RACE TO LEARN THE RATING OF THE RACE IN THAT YEAR.**
3. **NOT ALL RACES APPEARING IN THIS PUBLICATION ARE ACCORDED GROUP/GRADED, LISTED OR "BLACK TYPE" STATUS IN SALES CATALOGUES.** Certain data contained herein is for additional information only. The explanations set forth in the following paragraphs should be carefully reviewed before using this publication.

PART I

Part I, on white paper, lists by country all stakes races of 2021 given Group/Graded or Listed status by the International Grading and Race Planning Advisory Committee (IRPAC) and approved by SITA. The first three finishers in all Group/Graded and Listed races appearing in Part I receive "black type". Winners receive upper case black type; second and third place finishers receive lower case black type.

Group designators (-G1, -G2, -G3) were inaugurated in 1971 and became applicable to English, French, German, Irish and Italian races, but not applied to North American catalogue pages until 1973. Grade designators were similarly inaugurated in 1973 in the United States and Canada. No procedure existed to confer Group or Graded status on any race prior to those years. Beginning January 1, 1985, brackets [] replaced the dash preceding the designators. At this time, the designators could also be listed after a horse's name, indicating its best finish, if there was no room on a catalogue page to list a complete race name. As previously

stated, the first three finishers in a race will receive black type status, but beginning with races run in January 1, 1985, fourth place finishers in graded races run in countries listed in Part 1 of the "International Cataloguing Standards" will be recognized as official placings and those horses will also earn black type. This ruling was amended starting January 1, 1989, to award fourth place finishers black type status in Grade One races only. A subsequent ruling beginning January 1, 1990, no longer awards black type status to fourth place finishers. At the discretion of the individual sales companies, fourth place finishes in Group/Graded or Listed races may be included on sales catalog pages, but may not convey black type.

Authorities accepted for determination of Group/Graded and Listed races in Part I are the European Pattern Committee for Britain, France, Germany, Ireland and other European countries; the TOBA American Graded Stakes Committee for the United States; and The Jockey Club of Canada for Canada. The Asian Pattern Committee for Australia, Hong Kong, Japan, New Zealand, South Africa, United Arab Emirates and other Asian countries. The OSAF has reviewed the lists for Argentina, Brazil, Chile, Peru, Uruguay and Venezuela.

PART II

Part II, on blue paper, includes all Group/Graded and Listed races of countries which have not been accepted into Part I by IRPAC. The first three finishers in races listed in this Part receive "black type" as they meet IRPAC statistical standards, but have not for renewals since December 31, 1987 carried Group/Grade designators in catalogues published by SITA sales organizations, information regarding which may be found herein on page xvi.

PART III

Part III, on gray paper, includes all Group/Graded and Listed races of the countries which have not been accepted into Part II by IRPAC. Races listed in this Part do not receive "black type" under IRPAC standards for any renewals since December 31, 1988, *and are not carried or recognized in catalogues published by SITA sales organizations*. Race names and Groups/Grades listed in this Part are recognized only in the particular country.

PART IV

Part IV, on yellow paper, is the Jump Race Supplement, which lists steeplechase and hurdle races contested in countries comprising Parts I, II and III of this publication. These races are listed in italic form in sales catalogues and regional preferences determine if the italic form is black type.

CRITERIA FOR ASSESSMENT

Introduction

Following are criteria that IRPAC uses when determining placement of countries or races in the International Cataloguing Standards and International Statistics publication. Other relevant information and statistics brought forward by regional committees represented on IRPAC are also considered. These criteria are subject to, and in conjunction with, individual Ground Rules adopted by each region.

Applications for inclusion will be considered from Racing Authorities which are members of the International Federation of Horseracing Authorities or any of its Regional Federations (see below) and where the relevant Federation and regional Pattern Committee has endorsed such application.

Races must be restricted to horses as defined in Article 12 or 13 of the International Agreement on Breeding, Racing and Wagering.

Part I

- ◆ Countries must have a Committee or Industry Group to overview and maintain the Group/Graded races in its country/region.
- ◆ No Group/Graded races should have any geographically-based conditions of entry in regard to the place of the horse's birth, training or ownership.
- ◆ The country must adopt and implement a specific process based on ratings or other measures of quality to assess the quality of its races.
- ◆ As Part I countries are intended to comprise the world's major racing countries, granting Group/Graded Race status or upgrading a race to a higher Group/Grade must be justified by the quality of the runners, as per the requirements of the relevant regional Pattern Committee, as reported to IRPAC. Quality can be assessed by different systems including ratings, past performances and points.
- ◆ Except in exceptional circumstances, each country in Part I must have a minimum of one Group/Graded I race.
- ◆ Listed Race status must be justified by the quality of the runners as per the requirements of the relevant regional Pattern Committee, as reported to IRPAC. Quality can be assessed by different systems including ratings, past performances and points.
- ◆ Except in exceptional circumstances, Group/Graded Races must be downgraded or lose their status if the quality of the runners does not justify the Group/Grade.
- ◆ Listed Races must be downgraded if the quality of runners does not justify Listed status or if they do not adhere to published National standards that ensure quality runners.

- ◆ To upgrade an existing Group/Graded race, the race must have been run a minimum of two years around the same date, with similar race conditions and comparable prize money, and satisfy the quality criteria.
- ◆ New Group/Grade 2 or 1 may only be created without prior running under the following exceptional circumstances--

This creation must be induced by the implementation of a project or event of continental or intercontinental importance. Such project or event should be designed to have a major impact on the racing and/or breeding activity of all the countries of the region concerned and eventually for such activity worldwide.

The project or event must be unanimously supported by the members of each regional committee concerned, in charge of controlling quality in the existing Group/Graded system.

The detailed project or event must have been notified by the regional committee to IRPAC, with justification for exceptional circumstances no later than 30 days prior to the next scheduled IRPAC meeting.

Any race granted Group/Graded status under exceptional circumstances must be reviewed every year by the relevant regional committee in charge of controlling quality in the existing Group/Graded system, and automatically downgraded after three runnings if the quality of the runners does not comply with the stated criteria.

PROMOTION TO PART I

- ◆ Racing in these countries must be of sufficient stature in terms of number of horses competing, number of races contested and prize money distributed to justify their classification as being among the world's major racing countries.
- ◆ The number and percentage of races given Group/Graded or 'black type' status in these countries should be consistent with the pattern of racing in the country without being disproportionate to the ratio of such races in the countries already in Part I.
- ◆ The Group/Grade and Listed races in these countries are the highest class races contested within them.
- ◆ Except in exceptional circumstances, in order to be eligible for promotion to Part I each country must have a minimum of one Group/Graded I race.

- ◆ Ratings and other measures of quality shall have been reviewed by each regional committee concerned as well as IRPAC and be acceptable for Part I.
- ◆ Individual races of a Part II country can be promoted to Part I after 2 runnings if their quality complies with international Group/Graded status. Where a country does not already have a Group/Graded race in Part I, promotion of a Listed Race from that country will not normally be possible.

Part II

- ◆ The country must adopt and implement a specific process based on ratings or other measures of quality to assess the quality of its races.
- ◆ Races included in Part II must be justified by the quality of the runners which should equate to Listed status, as per the requirements of the relevant regional Pattern Committee, as reported to IRPAC. The percentage of black-type races to the total number of races run in that country will be taken into consideration, with a preference that it should be no higher than 3%.
- ◆ The ratio of the number of different horses to start in that country to the number of black-type races will be taken into consideration, with a preference that it should be no lower than 40:1.
- ◆ The percentage of black-type races which are open to foreign horses will be considered.
- ◆ The performances of the horses trained in that country when racing abroad, together with those of foreign-trained horses when racing in the country in question, will be considered.
- ◆ Individual races of a Part III country can be promoted to Part II after 2 runnings if their quality complies with required criteria for Listed status.

Part III

- ◆ To support an application the following data should be provided for consideration by IRPAC--

The number of horses in training, number of races, details of imported horses, purse structure and any bonus schemes, a summary of their breeding industry including their number of stallions, mares and foals, and an overview of the Racing Authority governing the Rules of Racing.

The following are the Regional Federations and Regional Pattern Committees:

- ◆ European and Mediterranean Horseracing Federation(EMHF) / European Pattern Committee (EPC)
- ◆ Asian Racing Federation (ARF) / Asian Pattern Committee (APC)
- ◆ American Graded Stakes Committee (AGSC) and Canadian Graded Stakes Committee (CGSC)
- ◆ Organizacion LatinoAmericana de Fomenta del Pura Sangre de Carrera (OSAF).

GENERAL

Races in each Part are set forth alphabetically by country with the name of the sponsor being indicated between brackets after the name of the race. Indices are included at the ends of Parts I, II and III.

Copies of certain prior editions of this publication may be purchased through the Society of International Thoroughbred Auctioneers, England, and The Jockey Club Information Systems, Inc., Lexington, Kentucky.

The contents of this publication can be viewed on the internet at:

www.ifhaonline.org/standardsBook.asp

A complete set for reference will greatly facilitate research into black type by any reader.

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NOTES

INTERNATIONAL CATALOGUING STANDARDS COMMITTEE

The International Cataloguing Standards Committee was formed in 1981 to achieve uniformity of cataloguing standards throughout the world in a time of increasing internationalization of Thoroughbred breeding, racing and marketing. The committee was made up of representatives of the racing authorities, breeders' organizations, and international auction houses of four major breeding and racing countries (England, France, Ireland, and the United States). One representative was added for The Organizacion Sudamericana de Fomento del Pura Sangre de Carrera (OSAF) in 1995 and one was added for the Asian Racing Federation in 1996. The committee made recommendations to the Society of International Thoroughbred Auctioneers (SITA).

The committee sought to achieve uniformity by making *International Cataloguing Standards* the single most authoritative, comprehensive, practical and accepted publication dealing with "black type" throughout the Thoroughbred world. In 1985 the committee set forth conditions under which a country could make the transition from Part II to Part I, and invited applications from those countries seeking to make this transition.

In October of 1985, after careful evaluation of the various applications, the committee welcomed Argentina, Australia, Brazil, Chile, New Zealand, Peru, South Africa and Uruguay into Part I. The committee found: 1) that racing in these countries was of sufficient stature in terms of number of horses competing, number of races contested, and purse monies distributed to justify their classification as being among the world's major racing countries; 2) that the number and percentage of races given group, graded or "black type" status in these countries was consistent with the pattern of racing in them without being disproportionate to the ratio of such races in the countries already in Part I; and 3) that the group or graded and listed races in these countries were, in fact, the highest class races contested within them.

Following a complete review of all countries in Part I for the 1992 publication, the number of graded and listed races was reduced for several countries and Uruguay was demoted to Part II.

In June of 2007 ICSC decided to transfer the responsibilities of making recommendations for the Group or Graded status of races to the International Grading and Race Planning Advisory Committee (IRPAC). The IRPAC recommendations are ratified by SITA. Responsibilities for making recommendations regarding catalogue page format issues are coordinated by regional ICSC sub-committees.

INTERNATIONAL GRADING AND RACE PLANNING ADVISORY COMMITTEE (IRPAC)

In March 2002, the Executive Council of the International Federation suggested to set up the International Grading and Race Planning Advisory Committee (IRPAC), entrusted with making recommendations concerning the calendar of international racing events as well as on the arrangements for ensuring the application of consistent standards worldwide to the grading of Pattern races. For this second part of its mandate, the IRPAC will base its recommendations on the ratings established by an International Classification Committee which will report to the IRPAC. In June of 2007 ICSC decided to transfer the responsibilities of making recommendations for the Group or Graded status of races to the IRPAC. The IRPAC recommendations are ratified by SITA.

Missions

- ◆ control enforcement of international criteria for grading races and implement quality control on existing Pattern or Grading systems
- ◆ make recommendations to SITA concerning promotions or demotions to the different parts of the International Cataloguing Standards and International Statistics Book (ICS book)
- ◆ coordinate annual publication of the ICS book
- ◆ ratify terms of reference of World's Best Racehorse Rankings Committee and appoint its members
- ◆ advise on International Calendar and new International Races
- ◆ advise any country on implementation of Pattern or Grading system
- ◆ discuss development of World Series, ARF Series or others
- ◆ create and update a web site (www.IFHAonline.org) presenting the conditions of the principal international races

The Committee will propose its terms of reference to Executive Council of IFHA.

The Committee Secretariat will ensure liaison concerning current work between formal Committee meetings (one or two per year).

INTERNATIONAL GRADING AND RACE PLANNING ADVISORY COMMITTEE (IRPAC)

Chairman : appointed by IFHA's Executive Council:

Carl Hamilton
Telephone: (859) 224-2800
Email: chamilton@jockeyclub.com

Vice-Chairmen : appointed by IFHA's Executive Council:

Bill Nader
Telephone: (852) 2966-5901
E-mail: william.a.nader@hkjc.org.hk

Henri Pouret
Telephone: 33 (1) 49-10-20-18
E-mail: hpouret@france-galop.com

Secretary : appointed by IFHA's Executive Council:

Ruth Quinn
Telephone: 020 7152 0000
E-mail: rquinn@britishhorseracing.com

Members:

- * One nominee designated by the European Pattern Committee: Brian Kavanagh
- * One nominee designated by the American Graded Stakes Committee: Dan Metzger
- * One nominee designated by the Canadian Graded Stakes Committee: Ross McKague
- * Two nominees designated by the Asian Pattern Committee: Bill Nader, Greg Nichols
- * One nominee designated by the Executive Committee of OSAF: Horacio Espósito
- * Chairman and Vice-Chairman of SITA: Tom Ventura, Jonathan D'Arcy
- * Three representatives of Breeders:
 - America (designated by the US Jockey Club & TOBA): Dr. J. David Richardson
 - Europe (designated by the EFTBA): Andreas Tiedtke
 - Asia (designated by the Asian Racing Federation): Hiroyuki Okuda

Technical advisers: Co-Chairmen of the World's Best Racehorse Rankings Committee:

Nigel Gray, Phil Smith

Consultant:

Phil Smith

Chairman:

Tom Ventura
Ocala Breeders' Sales Co., Inc.
P.O. Box 99
Ocala, Florida 34478, USA
Telephone: (352) 237-2154
Fax: (352) 237-3566
Email: TomV@obssales.com
Internet: www.obssales.com



Founding Members:

**Agence Francaise
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SITA, the Society of International Thoroughbred Auctioneers, is an association of the world's leading Bloodstock Auction houses.

It was founded in 1983 to create a forum for the discussion of subjects of mutual interest to International Thoroughbred Auctioneers.

SITA members are experienced Bloodstock Auctioneers providing the highest standard of auctioning to both buyers and sellers. Each member's Conditions of Sale are designed to protect both parties and have evolved over many years of trading. They reflect the law of the country of residence of each member, whilst reacting to changes in the marketplace.

Current subjects for discussion by SITA may include changes in the law affecting Public Auction, advances in veterinary science, cataloguing points or the future of internet sales.

SITA also ratifies any decisions made by IRPAC who compile the Cataloguing Standards Booklet.

The free flow of information does not in any way compromise the competition which exists between the various auction houses.

**SOCIETY OF INTERNATIONAL
THOROUGHBRED AUCTIONEERS**

*Chairman: Tom Ventura
Ocala Breeders' Sales Company, Inc.
P.O. Box 99, Ocala, Florida 34478, USA
Phone: (352) 237-2154 Fax: (352) 237-3566
Email: TomV@obssales.com*

ANTONIO BULLRICH S.A.

Antonio Bullrich, President
Av Libertador 4510 2 - PB
Buenos Aires C1426BWX Argentina
Mobile: [+54911] 5174 7274
Mobile: [+54911] 3397 8313
Telephone: [+54911] 4535 2031/2032
Email: info@antoniobullrich.com
Website: www.antoniobullrich.com

**THE CANADIAN THOROUGHBRED
HORSE SOCIETY (CTHS)**

Peter Berringer
CTHS (Ontario Division)
P.O. Box 172
Rexdale, Ontario M9W 5L1
Telephone: (416) 675-3602
Fax: (416) 675-9405
Email: aurorameadows@aol.com

ARQANA

Eric Hoyeau
BP 23100
14803 Deauville cedex
France
Telephone: +33 (2) 31-81-81-00
Fax: +33 (2) 31-81-81-01
Email: info@arqana.com
Internet: www.arqana.com

**CAPE THOROUGHBRED SALES
(PTY) LTD.**

Wehann Smith
P.O. Box 1108
Wellington 7650
South Africa
Telephone: +27 (0) 21 801 2997
Fax: +27 (0) 86 608 6899
Email: wehann@cthbs.com
Internet: ww.capethoroughbredsales.com

BADEN-BADEN SALES (BBAG)

Carola Ortlieb
An der Rennbahn 18
76473 Iffezheim
Germany
Telephone: +49 (0) 7229 1400
Mobile: +49 (0) 1726 855033
Fax: +49 (0) 7229 308512
Email: info@bbag-sales.de
Internet: www.bbag-sales.de

FASIG-TIPTON COMPANY, INC.

Evan Ferraro
2400 Newtown Pike
Lexington, Kentucky 40583-3610, USA
Telephone: (859) 255-1555
Fax: (859) 254-0794
Email: eferraro@fasigtipton.com
Internet: www.fasigtipton.com

BLOODSTOCK SOUTH AFRICA

Michael Holmes
P.O. Box 78035
Durban 4101, South Africa
Telephone: +27 82 552 6524
Fax: +27 31 303 1200
Email: michael@equine.co.za
Internet: www.tba.co.za

GOFFS

Henry Beeby
Kildare Paddocks
Kill, Co. Kildare, Ireland
Telephone: +353 45 886600
Fax: +353 45 877119
Email: henrybeeby@goffss.ie
Internet: www.goffss.com

GOFFS UK

Henry Beeby

Auction Mart Offices
Hawick, Roxburghshire
TD9 9NW United Kingdom
Phone: +44 (0) 1450 372222
Fax: +44 (0) 1450 378017
Email: henry.beeby@goffssuk.com
Internet: www.goffssuk.com

MAGIC MILLIONS SALES PTY LTD.

Barry Bowditch

P.O. Box 5246, GCMC,
Qld, 9726, Australia
Telephone: 1300 MILLIONS or 07 5504 1200
Fax: 61 (7) 5531 6888
Mobile: 61 (4) 0402 280 538
Email: barry@magicmillions.com.au
Internet: www.magicmillions.com.au

WILLIAM INGLIS & SON LTD.

Jonathan D'Arcy

P.O. Box 477
Randwick, N.S.W. 2031, Australia
Telephone 61 (2) 9399-7999
Fax: 61 (2) 9398-5547
Email: jonathan.darcy@inglis.com.au
Internet: www.inglis.com.au

NEW ZEALAND BLOODSTOCK LTD.

Petrea Vela

P.O. Box 97-447
Manukau City, Manukau 2241,
New Zealand
Telephone: 64-9-298-0055
Fax: 64-9-298-0506
Email: petrea.vela@nzb.co.nz
Internet: www.nzb.co.nz

I.T.S. ITALIAN THOROUGHBRED SALES

Alessandro Berardelli

Via Pellaro, 39
00178 Rome, Italy
Telephone: +39 06 7213105
Fax: +39 06 7220172
Email: its@its-aste.com
Internet: www.its-aste.com

OCALA BREEDERS' SALES CO., INC.

Tom Ventura

P.O. Box 99
Ocala, Florida 34478, USA
Telephone: (352) 237-2154
Fax: (352) 237-3566
Email: obs@obssales.com
Internet: www.obssales.com

KEENELAND ASSOCIATION, INC.

Geoffrey Russell

P.O. Box 1690, 4201 Versailles Rd.
Lexington, Kentucky 40588-1690, USA
Telephone: (859) 254-3412
Fax: (859) 233-2257
Email: grussell@keeneland.com
Internet: www.keeneland.com

S.G.A. - SOCIETÀ GESTIONE ASTE / ITALIAN BLOODSTOCK SALES

Via G.B. Casella 8
20156 Milano, Italy
Telephone: (+39) 02 39210416
Fax: (+39) 02 33004061
Email: info@sgasales.com
Internet: www.sgasales.com

TATTERSALLS

Jimmy George

Tattersalls Ltd.

Terrace House

Newmarket, Suffolk

CB8 9BT United Kingdom

Telephone: +44 (0) 1638 665931

Fax: +44 (0) 1638 660850

Email: jimmy.george@tattersalls.com

Internet: www.tattersalls.com

BLACK TYPE DESIGNATORS FOR NORTH AMERICAN RACING

In 2021, for a stakes race in the United States or Canada to receive black type in SITA catalogues, it must:

- Have a minimum purse value of \$50,000 available for distribution on the day of the race.
- Close at least 72 hours in advance of its running, have a fee paid for entrant, and have a total purse value distributed on the day of the race equal to or greater than the established minimum.
- All entries must be eligible for the purse monies used to determine the minimum purse value for black type.
- Have no restrictions other than state-bred, non-winners of a sweepstakes, sales graduates or state or province based stallions' progeny.
- May not contain a preference clause(s) based on criteria unrelated to the quality of the horse if such preference clause(s) could possibly exclude any horse(s) of superior quality from competing.
- Must have a Black-Type Race Quality Score (RQS) equal to or above the established minimum Race Quality Score for its age/sex division. New races with a minimum purse of \$75,000 available to all entries may be submitted to North American ICSC for review to determine if the inaugural running will receive black-type status. A list of stakes races and their non-Listed black-type status by year starting with 2014 is available at www.northamericanicsc.com

DESIGNATOR DEFINITION

G1, G2, G3

GRADED RACE

A non-restricted race with added money or guaranteed purse value of \$100,000 or more which has been run at least twice under similar conditions and on the same surface and has been assigned graded stakes status for the year contested by the American Graded Stakes Committee of the Thoroughbred Owners and Breeders Association (TOBA) for the United States, or The Jockey Club of Canada Graded Stakes Committee for Canada. The American Graded Stakes Committee has set the following minimum purse requirements for each grade level: G1 - \$300,000, G2 - \$200,000, and G3 - \$100,000. The Jockey Club of Canada's Graded Stakes Committee minimums are: G1 - \$250,000, G2 - \$150,000, and G3 - \$100,000.

- L LISTED RACE** Listed races in North America for 2020 have a value of \$75,000 or more available to all starters and have been assigned Listed status by the American Graded Stakes Committee of the Thoroughbred Owners and Breeders Association (TOBA) for the United States, or The Jockey Club of Canada Graded Stakes Committee for Canada. Prior to 1985 Listed races receive the designator –L (or -LR if restricted.) In 1985 the designators were changed to [L] or [LR]. Beginning in 1986 the track abbreviation where the race was run and the amount of money earned by the individual is carried in parentheses following the designators. Beginning in 1991 and until 2018 a Listed race may not be restricted. Starting in 2018 a Listed race may be Restricted to non-winners of a Sweepstakes which will be displayed as [LR].
- R RESTRICTED RACE** A race restricted to certain runners as defined by the conditions of the race. These conditions tend to exclude runners of greater quality for considerations other than sex or age. These races receive the designator -R.
- FOR 1985 RACING ONLY**
- O OTHER ADDED MONEY BLACK TYPE RACES** [O] (or [OR] if restricted) designator for 1985 only.
- Q QUALIFIED RACE** [Q] races are unrestricted allowance and handicap races with a purse value of \$30,000 or more. These races received black type status in 1985 only.
- N NON-QUALIFIED** These races are stakes races that were run in 1985 only and had an added money value of less than \$15,000. They do not receive black type but are identified by the [N] designator.
- HURDLE OR JUMP RACES** Hurdle and jump races were included in Parts I, II and III of the *“International Cataloguing Standards”* book and qualified for black type status dependent upon their placement until January 1, 1986. Beginning with this date, these races were placed in Part IV of the publication and lost their black type status for catalogues produced using North American ICSC guidelines. Horses which won or placed in a hurdle or jump race since January 1, 1986 are now identified by their names carried in *italic mixed case*.

All dollar amounts mentioned above are stated in U.S. and Canadian dollars, unless otherwise indicated.

THOROUGHBRED OWNERS AND BREEDERS ASSOCIATION & AMERICAN GRADED STAKES COMMITTEE

The grading of races began in 1973 as a TOBA project primarily at the request of European authorities anxious that North America have a system similar to their group race structure.

In most European countries all races are planned, even dictated by a central authority. Thus, from year to year, most races will be run at approximately the same time, under the same conditions and in the same relationship with each other. In the United States no such constancy can exist; no central authority prescribes a comprehensive racing calendar; individual racetracks annually plan their own stakes schedules. As a result, any grading system must be flexible and allow for prompt responses to change.

The Committee is composed of members of the Thoroughbred industry who meet annually to issue their collective opinion concerning the relative quality of certain Thoroughbred races in the United States. The Committee ranks eligible races as Grade 1, Grade 2, Grade 3 and Listed, with Grade 1 being the highest.

CRITERIA FOR CONSIDERATION FOR GRADING

The Committee has adopted the following criteria for screening U.S. races:

Purse requirement - a minimum of \$75,000 in added or guaranteed money, excluding state-bred supplements.

Longevity - two previous runnings under fundamentally the same conditions.

Drug testing - Post-race drug testing performed on samples from horses participating in graded stakes must meet or exceed the guide-lines in the committee's drug testing protocol.

Medication - Races are ineligible for grading if Association of Racing Commissioners International model rules on androgenic-anabolic steroids and non-steroidal anti-inflammatory drugs are not adopted.

Restricted races - Races will be ineligible for grading if conditions for competing in them include restrictive provisions relative to which horses may enter, other than by sex and age. A race is regarded as a restricted race if any of its conditions for entry would tend to exclude better horses while allowing participation by lesser horses. Beginning in 2018, races restricted to non-winners of a sweepstakes and meeting all other eligibility requirements are eligible for Listed status.

Preference conditions - Preference conditions should be written to industry acceptable standards, i.e., high weights, graded wins/placings, graded earnings, points from graded wins/placings, etc., with the goal of achieving the highest quality field. Deviating from standard preference practices may result in fields of lesser quality, which could lead to downgrading through the graded stakes review process.

Invitational races - Invitational races are eligible for grading if the added or guaranteed money is a minimum of \$75,000 and the race meets the other criteria.

Changes in conditions by race - If a race is altered materially in age, sex, eligibility, race track location, purse, distance or substantially changed on the calendar (30 days or more), this will prompt a review and may result in a change in grade.

Forced changes in track surface and splitting of races - A graded race scheduled for the turf, but moved to the dirt because the turf course is unsuitable for racing, may be downgraded one grade level for that running only. A graded race is not penalized if it is split into divisions unless the total purse for all divisions is less than 150% of the original purse.

Committee members bring judgment and knowledge to every grading decision. The grades, therefore, are a composite of expert opinion, rather than the result solely of statistical analysis.

TOBA/AMERICAN GRADED STAKES COMMITTEE

Secretary: Andrew Schweigardt
2365 Harrodsburg Road
Suite A200
P.O. Box 910668
Lexington, Kentucky 40591-0668

2020 - 2021 Membership

The TOBA/American Graded Stakes Committee is composed of six TOBA members, appointed by TOBA's Chairman and confirmed by its trustees, with rotating three-year terms, three of which may be consecutive; and five racing official members, elected by the six TOBA members, with three-year terms, three of which may be consecutive. The terms for each member commence on August 1. Any racing official on the Committee whose employment status changes significantly during his or her term on the Committee automatically vacates his or her position on the Committee.

Representing the Thoroughbred Owners and Breeders Association

Everett Dobson, Chairman

Barbara Banke

Reynolds Bell, Jr.

Craig Bernick

Walker Hancock

J. Michael O'Farrell

Racing Officials

Rick Hammerle - Oaklawn Park and Kentucky Downs

Chris Merz - Santa Anita Park

Ben Huffman - Churchill Downs and Keeneland

Martin Panza - New York Racing Association

Thomas Robbins - Del Mar



Interpretation of Races Run Abroad, For the Purpose of Qualification for Races and/or the Assignment of Weights

Racing Authorities should publish, and make available to other Racing Authorities on request, details of the way in which races run in other countries would be treated. For the purpose of determining penalties, allowances and qualifications in connection with the winning of any Flat Races:

- Races appearing in Part I of the International Cataloguing Standards Book shall be regarded as being of the status (Group/Graded or Listed) as described.
- Races appearing in Part II of the International Cataloguing Standards Book shall be regarded as being of Listed status.
- Races appearing in neither Part I nor Part II of the International Cataloguing Standards Book shall be regarded as being of neither Group nor Listed status.

This approach is particularly pertinent to countries (or for races) featuring in Part I.

LIST OF ABBREVIATIONS

“a” preceding a distance indicates that the distance is approximate rather than exact.

“(E.B.F.)” following a race name indicates the purse of the race has been supplemented by the European Breeders Fund.

“(H)” following a race name indicates that the race is a handicap race.

“N/A” located in any field indicates the data for that field was not available at the time of publication or that the information is not applicable.

“(R)” or “(LR)” following a race name indicates that the race is restricted to certain runners which are further defined in the conditions of the race.

“D” following a distance indicates the race is scheduled to be run on a dirt course.

“T” following a distance indicates the race is scheduled to be run on a turf course.

“awt” following a distance indicates the race is scheduled to be run on an all weather track.

Races with sex restrictions will be designated with the following abbreviations following the age:

c = colts

g = geldings

f = fillies

m = mares

EXPLANATORY NOTES

Distance Conversion

Race distances are computed differently in individual countries. Furlongs, miles and meters are all commonly used to describe the distance of a race. A meter is equal to 39.37 inches; a 1600 meter race is about 30 feet short of a mile. For the purpose of this book, the following equivalents are used:

One Mile = 1600 meters

8 furlongs = One Mile