# INTERNATIONAL CATALOGUING STANDARDS and

## INTERNATIONAL STATISTICS 2007

**Established by the International Cataloguing Standards Committee** 

Published by The Jockey Club Information Systems, Inc.



in association with the International Federation of Horseracing Authorities

The standards established by ICSC have been approved by Society of International Thoroughbred Auctioneers

www.ifhaonline.org/standardsBook.asp

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#### INTRODUCTORY NOTES

The 2007 edition of *International Cataloguing Standards* contains information important to Thoroughbred breeders, sellers and buyers, including a full listing of stakes races of 2007 which will be accorded Group/Graded or Listed status in sales catalogues published by the world's major international auction houses under the auspices of SITA in accordance with rules of ICSC. Not listed herein are U. S. and Canadian stakes races of 2007 which will receive "black type" in such catalogues but are considered to be below Graded or Listed standards. An explanation of these races appears hereinafter under "Part I"

International Cataloguing Standards 2007 is organized in five parts, beginning with the introductory material. Users of this publication should bear in mind several caveats:

- RACES INCLUDED IN THIS EDITION AND THEIR RATINGS ARE FOR 2007 ONLY. Races previously rated which are no longer rated or will not be renewed in 2007 do not appear. A race run under a new name will be followed by the old race name in parenthesis and italics, if the country provided the information. Previously rated races which have been upgraded or downgraded appear with their 2007 rating only.
- 2. TO DETERMINE WHETHER A PARTICULAR HORSE IS A GROUP/GRADED OR LISTED WINNER YOU MUST REFER TO THE EDITION OF *International Cataloguing Standards* FOR THE YEAR IN WHICH THE HORSE WON THE RACE TO LEARN THE RATING OF THE RACE IN THAT YEAR.
- 3. NOT ALL RACES APPEARING IN THIS PUBLICATION ARE ACCORDED GROUP/GRADED, LISTED OR "BLACK TYPE" STATUS IN SALES CATALOGUES. Certain data contained herein is for additional information only. The explanations set forth in the following paragraphs should be carefully reviewed before using this publication.

#### **PART I**

Part I, on white paper, lists by country all stakes races of 2007 given Group/Graded or Listed status by the International Cataloguing Standards Committee (information regarding which may be found herein on pages vi and vii). Non-Group/non-Graded races listed in Part I for all countries except the United States and Canada are considered Listed races. The "L" designator appears only for a non-Graded stakes race run in the United States or Canada which is eligible for grading but is not rated (see page xv for definition).

The first three finishers in all Group/Graded and Listed races appearing in Part I receive "black type". Winners receive upper case black type; second and third place finishers receive lower case black type.

Group designators (-G1, -G2, -G3) were inaugurated in 1971 and became applicable to English, French, German, Irish and Italian races, but not applied to

North American catalog pages until 1973. Grade designators were similarly inaugurated in 1973 in the United States and Canada. No procedure existed to confer Group or Graded status on any race prior to those years. Beginning January 1, 1985, brackets [] replaced the dash preceding the designators. At this time, the designators could also be listed after a horse's name, indicating its best finish, if there was no room on a catalog page to list a complete race name. As previously stated, the first three finishers in a race will receive black type status, but beginning with races run in January 1, 1985, fourth place finishers in graded races run in countries listed in Part 1 of the "International Cataloguing Standards" will be recognized as official placings and those horses will also earn black type. This ruling was amended starting January 1, 1989, to award fourth place finishers black type status in Grade One races only. A subsequent ruling beginning January 1, 1990, no longer awards black type status to fourth place finishers.

Authorities accepted for determination of Group/Graded and Listed races in Part I are the European Pattern Race Committee for England, France, Germany, Ireland, Italy and other European countries; the TOBA American Graded Stakes Committee for the United States; and The Jockey Club of Canada for Canada. The Asian Racing Federation has been reviewing the lists submitted by its members. The OSAF has reviewed the lists for Argentina, Brazil, Chile, Peru, and Venezuela.

#### **PART II**

Part II, on blue paper, includes all Group/Graded and Listed races of countries which have not been accepted into Part I by ICSC. The first three finishers in races listed in this Part receive "black type" as they meet ICSC statistical standards, but have not for renewals since December 31, 1987 carried Group/Grade designators in catalogues published by SITA sales organizations, information regarding which may be found herein on page xi.

#### PART III

Part III, on gray paper, includes all Group, Graded and Listed races of the countries which have not been accepted into Part II by ICSC. Races listed in this Part do not receive "black type" under ICSC standards for any renewals since December 31, 1988, and are not carried or recognized in catalogues published by SITA sales organizations. Race names and Groups/Grades listed in this Part are recognized only in the particular country.

#### **PART IV**

Part IV, on yellow paper, is the Jump Race Supplement, which lists steeplechase and hurdle races contested in countries comprising Parts I, II and III of this publication. These races may receive "black type" in National Hunt catalogues which are not subject to ICSC standards. Under ICSC standards these races do not receive "black type" but may receive "italic type" in SITA catalogues.

#### **GENERAL**

Races in each Part are set forth alphabetically by country with the name of the sponsor being indicated between brackets after the name of the race. Indices are included at the ends of Parts I, II and III.

Copies of certain prior editions of this publication may be purchased through the Society of International Thoroughbred Auctioneers, England, and The Jockey Club Information Systems, Inc., Lexington, Kentucky.

The contents of this publication can be viewed on the internet at:

#### www.ifhaonline.org/standardsBook.asp

A complete set for reference will greatly facilitate research into black type by any reader.

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#### INTERNATIONAL CATALOGUING STANDARDS COMMITTEE

Since its formation in 1981, the International Cataloguing Standards Committee has worked to achieve uniformity of cataloguing standards throughout the world in a time of increasing internationalization of Thoroughbred breeding, racing and marketing. The committee is made up of representatives of the racing authorities, breeders' organizations, and international auction houses of four major breeding and racing countries (England, France, Ireland, and the United States). The Organizacion Sudamericana de Fomento del Pura Sangre de Carrera (O.S.A.F.) has one representative since 1995 and the Asian Racing Federation has also one since 1996. The committee is making recommendations to the Society of International Thoroughbred Auctioneers.

The committee seeks to achieve uniformity by making International Cataloguing Standards the single most authoritative, comprehensive, practical and accepted publication dealing with "black type" throughout the Thoroughbred world. In 1985 the committee set forth conditions under which a country could make the transition from Part II to Part I, and invited applications from those countries seeking to make this transition.

In October of 1985, after careful evaluation of the various applications, the committee welcomed Argentina, Australia, Brazil, Chile, New Zealand, Peru, South Africa and Uruguay into Part I. The committee found: 1) that racing in these countries is of sufficient stature in terms of number of horses competing, number of races contested, and purse monies distributed to justify their classification as being among the world's major racing countries; 2) that the number and percentage of races given group, graded or "black type" status in these countries is consistent with the pattern of racing in them without being disproportionate to the ratio of such races in the countries already in Part I; and 3) that the group or graded and listed races in these countries are, in fact, the highest class races contested within them.

Following a complete review of all countries in Part I for the 1992 publication, the number of graded and listed races was reduced for several countries and Uruguay was demoted to Part II.

The International Cataloguing Standards Committee realizes that its goal can be achieved only through annual review and continuing refinement of its criteria. It is also the committee's hope and desire that all countries offering Thoroughbred racing will strive to meet the international criteria.

## INTERNATIONAL CATALOGUING STANDARDS COMMITTEE Chairman: Louis Romanet

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## SOCIETY OF INTERNATIONAL THOROUGHBRED AUCTIONEERS

SITA, the Society of International Thoroughbred Auctioneers, is an association of the world's leading Bloodstock Auction houses.

It was founded in 1983 to create a forum for the discussion of subjects of mutual interest to International Thoroughbred Auctioneers.

SITA members are experienced Bloodstock Auctioneers providing the highest standard of auctioning to both buyers and sellers. Each member's Conditions of Sale are designed to protect both parties and have evolved over many years of trading. They reflect the law of the country of residence of each member, whilst reacting to changes in the marketplace.

Current subjects for discussion by SITA may include changes in the law affecting Public Auction, advances in veterinary science, cataloguing points or the future of internet sales.

SITA also ratifies any decisions made by the I.C.S.C. who compile the Cataloguing Standards Booklet.

The free flow of information does not in any way compromise the competition which exists between the various auction houses.

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#### INTERNATIONAL GRADING AND RACE PLANNING ADVISORY COMMITTEE (IRPAC)

On March 2002, the Executive Council of the International Federation suggested to set up the International Grading and Race Planning Advisory Committee (IRPAC), entrusted with making recommendations concerning the calendar of international racing events as well as on the arrangements for ensuring the application of consistent standards worldwide to the grading of Pattern races. For this second part of its mandate, the IRPAC will base its recommendations on the ratings established by an International Classification Committee which will report to the IRPAC.

#### Missions

- control enforcement of international criteria for grading races and implement quality control on existing Pattern or Grading systems
- make recommendations to ICSC and SITA concerning promotions or demotions to the different parts of the international booklet
- ratify terms of reference of International Classification Committee and appoint its members
- advise on International Calendar and new International Races
- advise any country on implementation of Pattern or Grading system
- discuss development of World Series, ARF Series or others
- create and update a Web site (<u>www.IFHAonline.org</u>) presenting the conditions of the principal international races.

#### **Current Membership**

Chairman : appointed by IFHA's Executive Council:

2 Vice-Chairmen : appointed by IFHA's Executive Council:

Winfried Engelbrecht-Bresges
Carl Hamilton

Secretary: appointed by IFHA's Executive Council: Dominique de Wenden

#### Members:

\* One nominee designated by the European Pattern Committee: Brian Kavanagh

\* One nominee designated by the American Graded Stakes Committee : Rollin Baugh

\* One nominee designated by the Canadian Graded Stakes Committee: Michael Byrne

\* Two nominees designated by the Grading and Race Planning Committee of Asian Racing Federation: Tomoyuki Masuda, Ian Hart

\* One nominee designated by the Executive Committee of OSAF: Dr Fernando José Peña

\* Chairman and Vice-Chairman of SITA: Norman E. Casse, Henry Beeby

\* One nominee designated by ICSC: Jason Morris

\* Three representatives of Breeders:

America (designated by the US Jockey Club & TOBA):

G. Watts Humphrey, Jr.

Europe (designated by the EFTBA):

Dr. Franco Castelfranchi

Asia (designated by the Asian Racing Federation ):

Richard Turnley

Technical advisers: Co-Chairmen of the International Classification Committee

Nigel Gray, Garry O'Gorman

#### BLACK TYPE DESIGNATORS FOR NORTH AMERICAN RACING

For 2007, black-type will be awarded for a stakes race that closes at least seventy-two hours in advance of its running; has a fee paid by the owner of the entrant; and has a total purse value distributed on the day of the race of \$45,000 or more with the following considerations: 1) The only restrictions allowed for black type races will be state-bred, non-winners of a sweepstakes, sales graduates and stallions progeny; 2) races that contain a preference clause(s) based on criteria unrelated to the quality of the horse will not be awarded black type if such preference clause(s) could possibly exclude any horse(s) of superior quality from competing; 3) all entries in a race must be eligible for the purse monies used to determine the minimum purse value for black type or listed status.

#### **DESIGNATOR DEFINITION**

## G1, G2, G3

A non-restricted race with added money or guaranteed purse GRADED RACE value of \$100,000 or more which has been run at least twice under similar conditions and on the same surface and has been assigned graded stakes status for the year contested by the American Graded Stakes Committee of the Thoroughbred Owners and Breeders Association (TOBA) for the United States, or The Jockey Club of Canada for Canada. For the United States, the American Graded Stakes Committee has set minimum purse requirements for each grade level. They are: G3 - \$100,000, G2 - \$150,000, and G1 - \$250,000.

#### $\mathbf{L}$ LISTED RACE

Listed races in North America are those with a value of \$75,000 or more available to all starters. These races will receive the designator -L (or LR if restricted) prior to 1985. For the year 1985, the designators were changed to [L] or [LR]. Starting in 1986, the track abbreviation where the race was run and the amount of money earned by the individual is carried in parentheses following the designators. Beginning January 1, 1991, an "L" race may not be restricted.

#### RESTRICTED RACE

A race restricted to certain runners as defined by the conditions of the race. These conditions tend to exclude runners of greater quality for considerations other than sex or age. These races receive the designator -R prior to 1985.

#### HANDICAP RACE

A handicap race which qualifies for black type but does not carry the word "handicap".

#### FOR 1985 RACING ONLY

O
OTHER ADDED
MONEY
BLACK TYPE
RACES

O Other added money or black-type races received an [O] (or OTHER ADDED [OR] if restricted) designator for 1985 only.

Q QUALIFIED RACE [Q] races are unrestricted allowance and handicap races with a purse value of \$30,000 or more. These races received black type status in 1985 only.

NON-QUALIFIED

N

These races are stakes races that were run in 1985 only and had an added money value of less than \$15,000. They do not receive black type status but are identified by the [N] designator.

#### HURDLE OR JUMP RACES

Hurdle and jump races were included in Parts I, II and III of the "International Cataloguing Standards" book and qualified for black type status dependent upon their placement until January 1, 1986. Beginning with this date, these races were placed in Part IV of the publication and lost their black type status for catalogs produced using ICSC guidelines. Horses which won or placed in a hurdle or jump race since January 1, 1986 are now identified by their names being carried in italic mixed case.

All dollar amounts mentioned above are stated in U.S. and Canadian dollars, unless otherwise indicated.

## THOROUGHBRED OWNERS AND BREEDERS ASSOCIATION

&

#### AMERICAN GRADED STAKES COMMITTEE

The grading of races began in 1973 as a TOBA project primarily at the request of European authorities anxious that North America have a system similar to their group race structure.

In most European countries all races are planned, even dictated by a central authority. Thus, from year to year, most races will be run at approximately the same time, under the same conditions and in the same relationship with each other. In the United States no such constancy can exist; no central authority prescribes a comprehensive racing calendar; individual racetracks annually plan their own stakes schedules. As a result, any grading system must be flexible and allow for prompt responses to change.

The Committee is composed of members of the Thoroughbred industry who voluntarily meet periodically to issue their collective opinion concerning the relative quality of certain Thoroughbred races in the United States. The Committee ranks eligible races as Grade 1, Grade 2 and Grade 3, with Grade 1 being the highest.

#### CRITERIA FOR CONSIDERATION FOR GRADING

The Committee has adopted the following criteria for screening U.S. races:

*Purse requirement* - a minimum of \$75,000 in added or guaranteed money.

Longevity - two previous runnings under fundamentally the same conditions.

*Drug testing* - post-race drug testing performed on samples from horses participating in graded stakes must meet or exceed the guidelines in the committee's drug testing protocol.

Restricted races - Races may be ineligible for grading if conditions for competing in them include restrictive provisions relative to which horses may enter, other than by sex and age. A race is regarded as a restricted race if (1) any of its conditions for entry would tend to exclude better horses while allowing participation by lesser horses or (2) if the race contains any preference clauses that are based on criteria other than the quality of the horses unless such preference applies only in determining the preference of horses deemed of equal quality (i.e. horses assigned equal weight in a highweights preferred race).

#### Grading Criteria continued

*Invitational races* - invitational races are eligible for grading if the added or guaranteed money is a minimum of \$75,000 and the race meets the other criteria.

Changes in conditions by race - If a race is altered materially in race-track location, purse or distance or substantially changed on the calendar, or not run for two or more years, this will prompt a review and may result in a change in grade.

Forced changes in track surface and splitting of races - Beginning in 1999 a graded race scheduled for the turf, but moved to the dirt because the turf course is unsuitable for racing, may be downgraded one grade level for that running only. A graded race is not penalized if it is split into divisions unless the total purse for all divisions is less than 150% of the original purse.

Committee members bring judgment and knowledge to every grading decision. The grades, therefore, are a composite of expert opinion, rather than the result solely of statistical analyses.

#### TOBA/AMERICAN GRADED STAKES COMMITTEE

Secretary: Andrew Schweigardt 3101 Beaumont Centre Circle Suite 110 P.O. Box 910668 Lexington, Kentucky 40591-0668

#### 2006 - 2007 Membership

The TOBA/American Graded Stakes Committee is composed of five TOBA members, appointed by TOBA's Chairman and confirmed by its trustees, with rotating five-year terms, two of which may be consecutive; and five racing official members, elected by the five TOBA members, with three-year terms. The terms for each member commence on August 1. Any racing official on the Committee whose employment status changes significantly during his or her term on the Committee automatically vacates his or her position on the Committee.

#### Representing the Thoroughbred Owners and Breeders Association

Dell Hancock, Chairperson John Amerman Rollin W. Baugh Fred Seitz Peter Willmott

#### **Racing Officials**

David Bailey - Gulfstream Park
Rogers Beasley - Keeneland
Michael Dempsey - Monmouth Park/Meadowlands
Michael Harlow - Santa Anita Park
Thomas Robbins - Del Mar

#### LIST OF ABBREVIATIONS

"a" following a distance indicates that the distance is approximate rather than exact.

"(E.B.F.)" following a race name indicates the purse of the race has been supplemented by the European Breeders Fund.

"g" following a purse indicates that the amount is guaranteed.

"(H)" following a race name indicates that the race is a handicap race.

"(L)" following a race name indicates that the race is run in North America and is eligible to be graded but has not been assigned graded status for the year contested by the North American graded stakes committee (see page xv for full definition). In 1991 a L race may not be a restricted race. Prior to 1991 any ungraded race with added money value of \$50,000 or more was considered a listed race. It is further defined by track name and earnings for first three finishers.

"NA" located in any field indicates the data for that field was not available at the time of publication or that the information is not applicable.

"(R)" following a race name indicates that the race is restricted to certain runners which are further defined in the conditions of the race.

"T" following a distance indicates the race is scheduled to be run on a turf course. All other races are run on a different surface.

Races with sex restrictions will be designated with the following abbreviations following the age:

 $c = colts \qquad \qquad g = geldings \\ f = fillies \qquad \qquad m = mares$ 

#### **EXPLANATORY NOTES**

#### **Distance Conversion**

Race distances are computed differently in individual countries. Furlongs, miles and meters are all commonly used to describe the distance of a race. A meter is equal to 39.37 inches; a 1600 meter race is about 30 feet short of a mile. For the purpose of this book, the following equivalents are used:

One Mile = 1600 meters 8 furlongs = One Mile

#### 4th Place Finishers in Part I Group/Graded race:

Fourth place finishers in Group/Grade 1 races in all parts of this book do **not** receive black type for races contested on or after January 1, 1990.

## NOTES